

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
226/286SERIAL NO.
08/959,013APPLICANT:
Bert O'Malley et al.FILING DATE:
October 28, 1997GROUP: 1647
1000

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
PCW	AA	4,736,866	4/12/88	Leder et al.	800	1	6/22/84
	AB	5,298,422	3/29/94	Schwartz et al.	435	320.1	11/6/91
	AC	5,364,791	11/15/94	Vegeto et al.	435	320.1	5/14/92

FOREIGN PATENT DOCUMENTS:

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
PCW	AD	0 371 820 A2	06.06.90	EPO (Salk Institute)				
	AE	92/22567	23.12.92	WO/PCT (USA:DHHS)				
	AF	93/18759	30.09.93	WO/PCT (Smith et al.)				
	AG	93/23431	25.11.93	WO/PCT (Vegeto et al.)				
	AH	96/40911	19.12.96	WO/PCT (O'Malley et al.)				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

PCW	AI	Akerblom et al., "Negative Regulation by Glucocorticoids Through Interference with a cAMP Responsive Enhancer," <u>Science</u> 241:350-353 (1988).
	AJ	Allan et al., "Hormone and Antihormone Induce Distant Conformational Changes Which Are Central to Steroid Receptor Activation," <u>J. Biol. Chem.</u> 267:19513-19520 (1992).
	AK	Allan et al., "Ligand-dependent conformational changes in the progesterone receptor are necessary for events that follow DNA binding," <u>Proc. Natl. Acad. Sci. USA</u> 89:11750-11754 (1992).
	AL	Ashley et al., "Trinucleotide repeat expansion and human disease," <u>Annu. Rev. Genet.</u> 29:703-728 (1995).
	AM	Baim et al., "A chimeric mammalian transactivator based on the lac repressor that is regulated by temperature and isopropyl β -D-thiogalactopyranoside," <u>Proc. Natl. Acad. Sci.</u> 88:5072-5076 (1991).
	AN	Baniahmad et al., "The T4 activation domain of the thyroid hormone receptor is required for release of a putative corepressor(s) necessary for transcriptional silencing," <u>Mol. Cell. Biol.</u> 15:76-86 (1995).
	AO	Barzel, "Estrogens in the Prevention and Treatment of Postmenopausal Osteoporosis: A review," <u>American Journal of Medicine</u> 85:847-850 (1988).
	AP	Beato, "Gene Regulation by Steroid Hormones," <u>Cell</u> 56:335-344 (1989).
	AQ	Beato, "Transcriptional control by nuclear receptors," <u>FASEB J.</u> 5:2044-2051 (1991).
	AR	Beekman et al., "Transcriptional Activation by the Estrogen Receptor Requires a Conformational Change in the Ligand Binding Domain," <u>Molecular Endocrinology</u> 7:1266-1274 (1993).
	AS	Brasemann et al., "A selective transcriptional induction system for mammalian cells based on Gal4-estrogen receptor fusion proteins," <u>Proc. Natl. Acad. Sci. USA</u> 90:1657-1661 (1993).

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	FILING DATE: October 28, 1997	GROUP: 1600 1647

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
RCM	AT	Burke et al., "Huntingtin and DRPLA proteins selectively interact with the enzyme GAPDH," <u>Nature Medicine</u> 2:347-350 (1996).
	AU	Cato et al., "Steroids and Growth Promoting Factors in the Regulation of Expression of Genes and Gene Networks," <u>J. Steroid Biochem. Molec. Biol.</u> 43:63-68 (1992).
	AV	Celada et al., "Repression of Major Histocompatibility Complex IA Expression by Glucocorticoids: The Glucocorticoid Receptor Inhibits the DNA Binding of the X Box DNA Binding Protein," <u>J. Exp. Med.</u> 177:691-698 (1993).
	AW	Chen et al., "A transcriptional co-repressor that interacts with nuclear hormone receptors," <u>Nature</u> 377:454-457 (1995).
	AX	Chu et al., "Efficiency of Cytoplasmic Delivery by pH-Sensitive Liposomes to Cells in Culture," <u>Pharmaceutical Research</u> 7:824-834 (1990).
	AY	Dahlman-Wright et al., "Interaction of the Glucocorticoid Receptor DNA-binding Domain with DNA as a Dimer Is Mediated by a Short Segment of Five Amino Acids," <u>J. Biol. Chem.</u> 266:3107-3112 (1991).
	AZ	Daneshgari et al., "Endocrine Therapy of Advanced Carcinoma of the Prostate," <u>Cancer</u> 71:1089-1097 (1993).
	BA	Denis et al., "Requirement of hormone for thermal conversion of the glucocorticoid receptor to a DNA-binding state," <u>Nature</u> 333:686-688 (1988).
	BB	Denis et al., "The Molybdate-stabilized Nonactivated Glucocorticoid Receptor Contains a Dimer of Mr, 90,000 Non-hormone-binding Protein," <u>J. Biol. Chem.</u> 262:11803-11806 (1987).
	BC	Deuschle et al., "Tetracycline-reversible silencing of eukaryotic promoters," <u>Mol. Cell. Biol.</u> 15:1907-1914 (1995).
	BD	Diamond et al., "Transcriptional Factor Interactions: Selectors of Positive or Negative Regulation from a Single DNA Element," <u>Science</u> 249:1266-1272 (1990).
	BE	Dobson et al., "Mutational Analysis of the Chicken Progesterone Receptor," <u>J. Biol. Chem.</u> 264:4207-4211 (1989).
	BF	Dreicer and Wilding, "Steroid Hormone Agonists and Antagonists in the Treatment of Cancer," <u>Cancer Investigation</u> 10:27-41 (1992).
	BG	Drouin et al., "Glucocorticoid Receptor Binding to a Specific DNA Sequence is Required for Hormone-Dependent Repression of Pro-Opiomelanocortin Gene Transcription," <u>Molecular and Cellular Biology</u> 9:5305-5314 (1989).
	BH	Evans, "The Steroid and Thyroid Hormone Receptor Superfamily," <u>Science</u> 240:889-895 (1988).
	BI	Figge et al., "Stringent regulation of stably integrated chloramphenicol acetyl transferase genes by E. coli lac repressor in monkey cells," <u>Cell</u> 52:713-722 (1988).
	BJ	Friedman et al., "KAP-1, a novel corepressor for the highly conserved KRAB repression domain," <u>Gene and Dev.</u> 10:2067-2078 (1996).
	BK	Fuller et al., "The steroid receptor superfamily: mechanisms of diversity," <u>FASEB J.</u> 5:3092-3099 (1991).
	BL	Gauthier et al., "Functional interference between the Spi-1/Pu.1 oncoprotein and steroid hormone or vitamin receptors," <u>EMBO J.</u> 12:5089-5096 (1993).
	BM	Gerber et al., "Transcriptional activation modulated by homopolymeric glutamine and proline stretches," <u>Science</u> 263:808-811 (1994).
	BN	Goodrich et al., "Drosophila TAF _{II} 40 interacts with both a VP16 activation domain and the basal transcription factor TFIIB," <u>Cell</u> 75:519-530 (1993).
✓	BO	Goodrich et al., "TBP-TAF complexes: selectivity factors for eukaryotic transcription," <u>Curr. Opin. in Cell Biol.</u> 6:403-409 (1994).

EXAMINER: <i>R. Hayer</i>	DATE CONSIDERED: 10/23/00
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	APPLICANT: Bert O'Malley et al.	
	FILING DATE: October 28, 1997	GROUP: 1600-1647

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
RH	BP	Gossen et al., "Tight control of gene expression in mammalian cells by tetracycline-responsive promoters," <u>Proc. Natl. Acad. Sci.</u> 89:5547-5551 (1992).
	BQ	Gossen et al., "Transcriptional activation by tetracyclines in mammalian cells," <u>Science</u> 268:1766-1769 (1995).
	BR	Greene et al., "Establishment of a noradrenergic clonal line of rat adrenal pheochromocytoma cells which respond to nerve growth factor," <u>Proc. Natl. Acad. Sci.</u> 73:2424-2428 (1976).
	BS	Haensler and Szoka, "Synthesis and Characterization of a Trigalactosylated Bisacridine Compound to Target DNA to Hepatocytes," <u>Bioconjugate Chem.</u> 4:85-93 (1993).
	BT	Heck et al., "A distinct modulating domain in glucocorticoid receptor monomers in the repression of activity of the transcription factor AP-1," <u>EMBO J.</u> 13:4087-4095 (1994).
	BU	Hollenberg and Evans, "Multiple and Cooperative <i>Trans</i> -Activation Domains of the Human Glucocorticoid Receptor," <u>Cell</u> 55:899-906 (1988).
	BV	Horlein et al., "Ligand-independent repression by the thyroid hormone receptor mediated by a nuclear receptor co-repressor," <u>Nature</u> 377:397-403 (1995).
	BW	Howard and Distelhorst, "Evidence for Intracellular Association of the Glucocorticoid Receptor with the 90-kDa Heat Shock Protein," <u>J. Biol. Chem.</u> 263:3474-3481 (1988).
	BX	Ito et al., "Transformation of intact yeast cells treated with Alkali cations," <u>J. Bacteriol.</u> 153:163-168 (1983).
	BY	Jonat et al., "Antitumor Promotion and Antiinflammation: Down-Modulation of AP-1 (Fos/Jun) Activity by Glucocorticoid Hormone," <u>Cell</u> 62:1189-1204 (1990).
	BZ	Kamei et al., "A CBP integrator complex mediates transcriptional activation and AP-1 inhibition by nuclear receptors," <u>Cell</u> 85:403-414 (1996).
	CA	Kawai and Nishizawa et al., "New Procedure for DNA Transfection with Polycation and Dimethyl Sulfoxide," <u>Molecular and Cellular Biology</u> 4:1172-1174 (1984).
	CB	Kellendonk et al., "Regulation of Cre recombinase activity by the synthetic steroid RU 486," <u>Nucleic Acids Research</u> 24(8):1404-1411 (1996).
	CC	Kerppola et al., "Fos is a Preferential Target of Glucocorticoid Receptor Inhibition of AP-1 Activity In Vitro," <u>Molecular and Cellular Biology</u> 13:3782-3791 (1993).
	CD	Kuhl et al., "Trinucleotide repeats and genome variation," <u>Curr. Opin. in Genet. Dev.</u> 3:404-407 (1993).
	CE	Kutoh et al., "Functional Inteferece between the Ubiquitous and Constitutive Octamer Transcription Factor 1 (OTF-1) and the Glucocorticoid Receptor by Direct Protein-Protein Interaction Involving the Homeo Subdomain of OTF-1," <u>Molecular and Cellular Biology</u> 12:4960-4969 (1992).
	CF	Lanz and Rusconi, "A Conserved Carboxy-Terminal Subdomain Is Important for Ligand Interpretation and Transactivation by Nuclear Receptors," <u>Endocrinology</u> 135:2183-2195 (1994).
	CG	Lanz et al., "Active, interactive, and inactive steroid receptor mutants," <u>Steroids</u> 59:148-152 (1994).
	CH	Lebeau et al., "P59, an hsp 90-binding Protein," <u>J. Biol. Chem.</u> 267:4281-4284 (1992).
	CI	Lee et al., "Glucocorticoids regulate expression of dihydrofolate reductase cDNA in mouse mammary tumour virus chimaeric plasmids," <u>Nature</u> 294:228-232 (1981).
	CJ	Legendre and Szoka, "Cyclic Amphipathic Peptide-DNA Complexes Mediate High-efficiency Transfection of Adherent Mammalian Cells," <u>Proc. Natl. Acad. Sci. USA</u> 90:893-897 (1993).
	CK	Legendre and Szoka, "Delivery of Plasmid DNA into Mammalian Cell Lines Using pH-Sensitive Liposomes: Comparison with Cationic Liposomes," <u>Pharmaceutical Research</u> 9:1235-1242 (1992).

EXAMINER: <i>R. Hayes</i>	DATE CONSIDERED: 10/27/00
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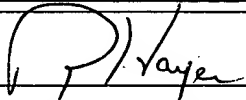
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
Ref	CL	Lerner et al., "Isolation of subtilisin of pro-sequence mutations that affect formation of active protease by localized random polymerase chain reaction mutagenesis," <u>J. Biol. Chem.</u> 265:20085-20086 (1990).
	CM	Liu et al., "Hormone-Independent Repression of AP-1-Inducible Collagenase Promoter Activity by Glucocorticoid Receptors," <u>Molecular and Cellular Biology</u> 15:1005-1013 (1995).
	CN	Lucibello et al., "Mutual transrepression of Fos and the glucocorticoid receptor: involvement of a functional domain in Fos which is absent in FosB," <u>EMBO J.</u> 9:2827-2834 (1990).
	CO	Mak et al., "Expression of Functional Chicken Oviduct Progesterone Receptors in Yeast (<i>Saccharomyces cerevisiae</i>)," <u>J. Biol. Chem.</u> 264:21613-21618 (1989).
	CP	Malchoff et al., "A mutation of the glucocorticoid receptor in primary cortisol resistance," <u>J. Clin. Invest.</u> 91:1918-1925 (1993).
	CQ	Margolin et al., "Kruppel-associated boxes are potent transcriptional repression domains," <u>Proc. Natl. Acad. Sci.</u> 91:4509-4513 (1994).
	CR	Mayo et al., "The mouse metallothionein-I gene is transcriptionally regulated by cadmium following transfection into human or mouse cells," <u>Cell</u> 29:99-108 (1982).
	CS	McDonnell et al., "Reconstitution of the Vitamin D-Responsive Osteocalcin Transcription Unit in <i>Saccharomyces cerevisiae</i> ," <u>Molecular and Cellular Biology</u> 9:3517-3523 (1989).
	CT	McKnight, "Transcription revisited: A commentary on the 1995 Cold Spring Harbor Laboratory Meeting, 'Mechanisms of eukaryotic transcription,'" <u>Genes Dev.</u> 10:367-381 (1996).
	CU	Mendel et al., "Molybdate-stabilized Nonactivated Glucocorticoid-Receptor Complexes Contain a 90-kDa Non-steroid-binding Phosphoprotein That is Lost on Activation," <u>J. Biol. Chem.</u> 261:3758-3763 (1986).
	CV	Miller, "Assay of β -Galactosidase," <u>Experiments in Molecular Genetics</u> , Cold Spring Harbor Laboratories, pp. 352-355 (1972).
	CW	Miner et al., "Joins in the Regulatory Lattice: Composite Regulation by Steroid Receptor-AP1 Complexes," <u>Cell Growth & Differentiation</u> 2:525-530 (1991).
	CX	Misrahi et al., "Complete amino acid sequence of the human progesterone receptor deduced from cloned cDNA," <u>Biochem. Bioph. Res. Comm.</u> 143:740-748 (1987).
	CY	Mordacq and Linzer, "Co-localization of elements required for phorbol ester stimulation and glucocorticoid repression of proliferin gene expression," <u>Genes & Development</u> 3:760-769 (1989).
	CZ	No et al., "Ecdysone-inducible gene expression in mammalian cells and transgenic mice," <u>Proc. Natl. Acad. Sci. USA</u> 93:3346-3351 (1996).
	DA	O'Malley and Tsai, "Molecular Pathways of Steroid Receptor Action," <u>Biology of Reproduction</u> 46:163-167 (1992).
	DB	Onate et al., "Sequence and characterization of a coactivator for the steroid hormone receptor superfamily," <u>Science</u> 270:1354-1357 (1995).
	DC	Oro et al., "Transcriptional Inhibition by a Glucocorticoid Receptor--Galactosidase Fusion Protein," <u>Cell</u> 55:1109-1114 (1988).
	DD	Palmiter and Brinster, "Germ-line Transformation of Mice," <u>Ann. Rev. Genet.</u> 20:465-499 (1986).
	DE	Pfahl, "Nuclear Receptor/AP-1 Interaction," <u>Endocrine Reviews</u> 14:651-658 (1993).
	DF	Picard et al., "Signal transduction by steroid hormones: nuclear localization is differentially regulated in estrogen and glucocorticoid receptors," <u>Cell Regulation</u> 1:291-299 (1990).

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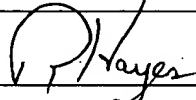
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PGH	DG	Pratt et al., "The hsp56 Immunophilin Component of Steroid Receptor Heterocomplexes: Could This be the Elusive Nuclear Localization Signal-Binding Protein?" <u>J. Steroid Biochem. Molec. Biol.</u> 3:269-279 (1993).
	DH	Pugh, "Mechanisms of transcription complex assembly," <u>Curr. Opin. in Cell Biol.</u> 8:303-311(1996).
	DI	Rao and Slotman, "Endocrine Factors in Common Epithelial Ovarian Cancer," <u>Endocrine Reviews</u> 12:14-26 (1991).
	DJ	Ray and Prefontaine, "Physical association and functional antagonism between the p65 subunit of transcription factor NF- κ B and the glucocorticoid receptor," <u>Proc. Natl. Acad. Sci. USA</u> 91:752-756 (1994).
	DK	Rexin et al., "Structure of the Glucocorticoid Receptor in Intact Cells in the Absence of Hormone," <u>J. Biol. Chem.</u> 267:9619-9621 (1992).
	DL	Ross et al., "Genes with triplet repeats: Candidate mediators of neuropsychiatric disorders," <u>Trends in Neurosci</u> 16:254-260 (1993).
	DM	Sanchez et al., "Evidence that the 90-kDa Phosphoprotein Associated with the Untransformed L-cell Glucocorticoid Receptor is a Murine Heat Shock Protein," <u>J. Biol. Chem.</u> 260:12398-12401 (1985).
	DN	Sanchez et al., "Hormone-free Mouse Glucocorticoid Receptors Overexpressed in Chinese Hamster Ovary Cells Are Localized to the Nucleus and Are Associated with Both hsp70 and hsp90," <u>J. Biol. Chem.</u> 265:20123-20130 (1990).
	DO	Sanchez et al., "Relationship of the 90-kDa Murine Heat Shock Protein to the Untransformed and Transformed States of the L Cell Glucocorticoid Receptor," <u>J. Biol. Chem.</u> 262:6986-6991 (1987).
	DP	Schule and Evans, "Cross-coupling of signal transduction pathways: zinc finger meets leucine zipper," <u>Trends in Genetics</u> 7:377-381 (1991).
	DQ	Schule et al., "Functional Antagonism between Oncoprotein c-Jun and the Glucocorticoid Receptor," <u>Cell</u> 62:1217-1226 (1990).
	DR	Seed and Sheen, "A simple phase-extraction assay for chloramphenicol acyltransferase activity," <u>Gene</u> 67:271-277 (1988).
	DS	Seipel et al., "C-terminal domain (CTD) of RNA-polymerase II and N-terminal segment of the human TATA binding protein (TBP) can mediate remote and proximal transcriptional activation, respectively," <u>Nucl. Acid Res.</u> 21:5609-5615 (1993).
	DT	Servadio et al., "Expression analysis of the ataxin-1 protein in tissues from normal and spinocerebellar ataxia type 1 individuals," <u>Nature Genet.</u> 10:94-98 (1995).
	DU	Smith and Toft, "Steroid Receptors and Their Associated Proteins," <u>Molecular Endocrinology</u> 7:4-11 (1993).
	DV	Stromstedt et al., "The Glucocorticoid Receptor Binds to a Sequence Overlapping the TATA Box of the Human Osteocalcin Promoter: a Potential Mechanism for Negative Regulation," <u>Molecular and Cellular Biology</u> 11:3379-3383 (1991).
	DW	Sunderland and Osborne, "Tamoxifen in Premenopausal Patients with Metastatic Breast Cancer: A Review," <u>J. Clinical Oncology</u> 9:1283-1297 (1991).
	DX	Touray et al., "Characterisation of functional inhibition of the glucocorticoid receptor by Fos/Jun," <u>Oncogene</u> 6:1227-1234 (1991).
	DY	Trottier et al., "Cellular localization of the Huntington's disease protein and discrimination of the normal mutated form," <u>Nature Genet.</u> 10:104-110 (1995).
	DZ	Tsai and O'Malley, "Molecular Mechanisms of Action of Steroid/Thyroid Receptor Superfamily Members," <u>Ann. Rev. Biochem.</u> 63:451-486 (1994).
	EA	Tsai et al., "Cooperative Binding of Steroid Hormone Receptors Contributes to Transcriptional Synergism at Target Enhancer Elements," <u>Cell</u> 57:443-448 (1989).

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PC	EB	Tsai et al., "Molecular Interactions of Steroid Hormone Receptor with its Enhancer Element: Evidence for Receptor Dimer Formation," <u>Cell</u> 55:361-369 (1988).
	EC	Tverberg and Russo, "Cell-specific Glucocorticoid Repression of Calcitonin/Calcitonin Gene-related Peptide Transcription," <u>J. Biol. Chem.</u> 267:17567-17573 (1992).
	ED	Umesono and Evans, "Determinants of Target Gene Specificity for Steroid/Thyroid Hormone Receptors," <u>Cell</u> 57:1139-1146 (1989).
	EE	Vegeto et al., "The Mechanism of RU486 Antagonism Is Dependent on the Conformation of the Carboxy-Terminal Tail of the Human Progesterone Receptor," <u>Cell</u> 69:703-713 (1992).
	EF	Veldscholte et al., "Anti-androgens and the mutated androgen receptor of LNCap cells: Differential effects on binding affinity, heat-shock protein interaction, and transcription activation," <u>Biochemistry</u> 31:2393-2399 (1992).
	EG	Wang et al., "A regulatory system for use in gene transfer," <u>Proc. Natl. Acad. Sci. USA</u> 91:8180-81814 (1994).
	EH	Ward, "Single-step purification of shuttle vectors from yeast for high frequency back-transformation into <i>E. coli</i> ," <u>Nucleic Acids Research</u> 18:5319 (1990).
	EI	Webster et al., "The Hormone-Binding Domains of the Estrogen and Glucocorticoid Receptors Containing an Inducible Transcription Activation Function," <u>Cell</u> 54:199-207 (1988).
	EJ	Wegner et al., "POU-domain proteins: Structure and function of developmental regulators," <u>Curr. Opin. in Cell Biol.</u> 5:488-498 (1993).
	EK	Witzgall et al., " <i>Kid-1</i> , a putative renal transcription factor: Regulation during ontogeny and in response to ischemia and toxic injury," <u>Mol. Cell. Biol.</u> 13:1933-1942 (1993).
	EL	Witzgall et al., "The Kruppel-associated box-A (KRAB-A) domain of zinc finger proteins mediates transcriptional repression," <u>Proc. Natl. Acad. Sci.</u> 91:4514-4518 (1994).
	EM	Wurtz et al., "A canonical structure for the ligand-binding domain of nuclear receptors," <u>Nature Structural Biology</u> 3(1):87-94 (1996).
	EN	Yang-Yen et al., "Transcriptional Interference between c-Jun and the Glucocorticoid Receptor: Mutual Inhibition of DNA Binding Due to Direct Protein-Protein Interaction," <u>Cell</u> 62:1205-1215 (1990).
	EO	Yazawa et al., "Abnormal gene product identified in hereditary dentatorubral-pallidoluysian atrophy (DRPLA) brain," <u>Nature Genet.</u> 10:99-103 (1995).
	EP	Yem et al., "The Hsp56 Component of Steroid Receptor Complexes Binds to Immobilized FK506 and Shows Homology to FKBP-12 and FKBP-13," <u>J. Biol. Chem.</u> 267:2868-2871 (1992).

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